

11-28-01
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.11/26/01
**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))11-28-01
Attorney Docket No. 3009.1003-002

First Named Inventor or Application Identifier Noah Lehmann-Haupt

Express Mail Label No. EV 052028049 US

Title of Invention

PEER-TO-PEER APPLICATION FOR ONLINE GOODS TRADING

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

11-26/01
109/994373
TC990 US PRO

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| <p>1. <input type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages [23]]
(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to microfiche Appendix - Background of the Invention - Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [5]]
[<input type="checkbox"/>] Formal [<input checked="" type="checkbox"/>] Informal
[<input checked="" type="checkbox"/>] Fig. of the Drawings for Publication [Fig. 1]</p> <p>4. <input type="checkbox"/> Oath or Declaration/POA [Total Pages []] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
[NOTE Box 5 below] <ul style="list-style-type: none"> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> </p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy)
[] Pages c. <input type="checkbox"/> Statement verifying identity of above copies </p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>8. <input type="checkbox"/> Assignment Papers (cover sheet & documents)
[<input checked="" type="checkbox"/>] Assignee - TruExchange, Inc.
Lexington, Massachusetts 02421</p> <p>9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement [] Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. [<input checked="" type="checkbox"/>] Return Receipt Postcard (MPEP 503)</p> <p>14. <input type="checkbox"/> Small Entity [] Statement filed in prior application, status still proper and desired</p> <p>15a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119(a)-(b)</p> <p>15b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>16. <input type="checkbox"/> Nonpublication Request (check parent application)</p> <p>17. <input type="checkbox"/> Other: _____</p> |
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18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:[] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner:

Group Art Unit:

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